Approved for U.S. Patent and Trademark Ofit

O/SB/08A (08-00)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of the Paperwork Reduction Act of 1995, no persons are required to the Paperwork

INFORMATION DISCLOSURE STAFFMENT BY APPLICANT

use as many sheets as necessary)

2

	Complete if Known	`
Application Number	09/774,278	
Filing Date	1/30/01	
First Named Inventor	Lanza et al	
Group Art Unit	1619	
Examiner Name		
Attorney Docket Number	4375-000004/US	

			U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No. ¹	U.S. Patent Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
7	1	5,171,737	Weiner, et al	12/15/92	
	2	5,242,681	Elgavish	09/07/93	
	3	5,542,935	Unger, et al.	08/06/96	
4	4	5,401,634	Milbrath, et al.	03/28/95	
	5	6,123,923	Unger, et al.	09/26/00	
	6	5,585,112	Unger, et al.	12/17/96	eve. 4
	7	4,863,713	Goodwin, et al.	09/05/89	m
	8	5,616,690	Axworthy, et al.	04/01/97	mi C E
	9	5,512,294	Li, et al.	04/30/96	_
	10	5,536,489	Lohrmann, et al.	07/16/96	<u> </u>
	11	5,527,528	Allen, et al.	06/18/96	2
	12	5,571,498	Cacheris, et al.	11/05/96	
					60
					0/:
					00/2900
•					8
	<u> </u>				

FOREIGN PATENT DOCUMENTS								
Examiner Cite No.1	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant		
		Office ³	Number ⁴	Kind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Τ _θ
								
			 					
•				<u> </u>				
							_	

Examiner Signature	99W	Date Considered	1/20/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

SUBSTITUTE FOR FORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	Complete if Known	
Application Number	09/774,278	
Filing Date	1/30/01	
First Named Inventor	Lanza, et al	
Group Art Unit	1619	
Examiner Name		
Attorney Docket Number	4375-000004/US	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS		
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
5	13	URDAL, D.L., et al., "Tumor-associated Ganglio-N-triosylceramide," Journal of Biological Chemistry, April 21, 1980, pp 10509-10516, Vol. 225, No. 21		
5	14	NARAYANA, et al., Temperature Variation of Ultrasonic Velocity in Some Low Velocity Fluorocarbon Liquids", Acoustics Letters, 1986, pp. 137-143, Vol. 9		
5	15	KLIBANOV, A.L., et al, "A Two-Step Targeting Approach For Delivery of Doxorubicin-Loaded Liposomes To Tumour Cells In Vivo," Cancer Chemother. Pharmacol., 1995, pp. 91-101, Vol. 36 (2), Germany		
<u> </u>	16	LONGMAN, S.A., et al., "Biotinylated pH-Sensitive Liposome Contains For Guided Administration of Biologically Active Substances To the Cells," Vestn Akad Med Navk, 1990, pp. 50-54, Vol. 8, SSSR (USSR)		
		75		
		TECH CENTER	H.	
		WTEF	\mathcal{O}	
***************************************		9 20b1 1 600/290	71	
		72900	7	
Examiner Signature		Date Considered 20/03		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.